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PTO/SB/21 (08-00)

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TRANSMITTAL FORM

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| Total Number Of Pages In This Submission | 10 + 34 REF | Application Number 10/044,463 |
| | | Filing Date January 10, 2002 |
| | | First Named Inventor Davide R. GRASSETTI |
| | | Group Art Unit 3736 |
| | | Examiner Name To Be Assigned |

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APP 2/11/2002

TECHNOLOGY CENTER 3700

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GROUP 1

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PROPRIETARY INFORMATION

STATUS LETTER

OTHER ENCLOSURE(S) (PLEASE IDENTIFY
BELOW):

1. Form PTO-1449 plus 1 copy (6 pages)
2. Thirty-four (34) References
3. Return Receipt Postcard

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| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | <input type="checkbox"/> CD, Number of CD(s) _____ | |
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SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

| | |
|-------------------------------|---|
| Firm or Individual Name | Morrison & Foerster LLP, 755 Page Mill Rd, Palo Alto, California 94304-1018 Terri M. Shieh-Newton, Reg. No. 47,081 |
| Signature | |
| Date | April 15, 2002 |

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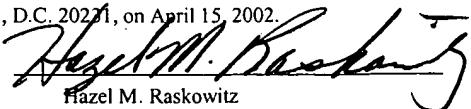
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PATENT
Docket No. 497872000400

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TECHNOLOGY CENTER R3700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Davide R. GRASSETTI and
Camillo MORO

Serial No.: 10/044,463

Filing Date: January 10, 2002

For: METHOD OF IMMUNOMODULATION
USING THIONE-FORMING
DISULFIDES

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97 AND § 1.98**

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- With the application; accordingly, no fee or separate requirements are required.
- Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - A fee is required. A check in the amount of * is enclosed.
 - A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due.
- After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of * is enclosed.
 - A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

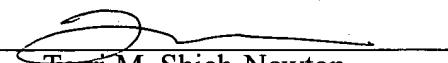
The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 497872000400. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

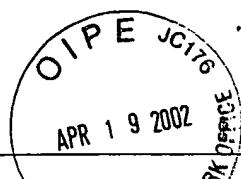
Dated: April 15, 2002

Respectfully submitted,

By:


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|---|--|------------------------------|--------------------------------------|
| Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i> | | Docket Number 497872000400 | Application Number 10/044,463 |
| | | Applicant | Davide R. GRASSETTI and Camillo MORO |
| | | Filing Date January 10, 2002 | Group Art Unit 3736 |
| | | Mailing Date April 15, 2002 | |

RECEIVED**APR 23 2002****U.S. PATENT DOCUMENTS**

| Examiner Initials | Ref. No. | Date | Document No. | Name | Class | Subclass | Filing Date If TECHNOLOGY CENTER 3700 |
|-------------------|----------|------------|--------------|------------------|-------|----------|--|
| | 1. | 08/03/1971 | 3,597,160 | Grassetti | | | |
| | 2. | 10/17/1972 | 3,698,866 | Grassetti et al. | | | |
| | 3. | 05/01/1979 | 4,152,439 | Grassetti | | | |
| | 4. | 03/29/1983 | 4,378,364 | Grassetti | | | |
| | 5. | 06/01/1999 | 5,908,861 | Kun | | | |
| | 6. | 03/28/2000 | 6,043,256 | Grassetti | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initials | Ref. No. | Date | Document No. | Country | Class | Subclass | Translation YES NO |
|-------------------|----------|------------|--------------|---------|-------|----------|-----------------------|
| | 7. | 03/09/1976 | 985,170 | Canada | | | |
| | 8. | 02/18/1999 | WO 99/07368 | WIPO | | | |

OTHER DOCUMENTS*(including author, title, Date, Pertinent Pages, Etc.)*

| Examiner Initials | Ref. No. | Title |
|-------------------|----------|--|
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EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

| | | | |
|---|--|------------------------------|--------------------------------------|
| Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i> | | Docket Number 497872000400 | Application Number 10/044,463 |
| | | Applicant | Davide R. GRASSETTI and Camillo MORO |
| | | Filing Date January 10, 2002 | Group Art Unit 3736 |
| | | Mailing Date April 15, 2002 | |

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PTO/SB/08 (2-92)

Sheet 3 of 3

Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 497872000400

Application Number 10/044,463

Applicant

Davide R. GRASSETTI and Camillo MORO

Filing Date January 10, 2002

Group Art Unit 3736

Mailing Date April 15, 2002

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| TECHNOLOGY CENTER R370 | | |
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